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CUSTOMER NUMBER 25268

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2 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
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4 Applicants: Minoru Taya et al. Attorney Docket No. UNIV0216  
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6 Serial No.: 10/790,634 Group Art Unit:  
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8 Filed: February 27, 2004 Examiner:  
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10 Title: DESIGN OF FERROMAGNETIC SHAPE MEMORY ALLOY COMPOSITES  
11 AND ACTUATORS INCORPORATING SUCH MATERIALS  
12

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

13  
14 Bellevue, Washington 98004  
15 April 15, 2004  
16 TO THE COMMISSIONER FOR PATENTS:  
17

18 Applicants are aware of the information listed in the attached form that may be material to the  
19 prosecution of the above-identified patent application.  
20

- 21  1. This Art is being submitted for entry into the Patent Application File. This art was  
22 cited in an International Search Report for a corresponding case, which was received  
23 after the Notice of Allowance in the present case was issued. This art has been  
24 identified in the International Search Report as being of general relevance (i.e. "A"  
art).
- 25  2. Copies of the listed patents, publications, and other information were previously cited by  
26 or submitted to the U.S. Patent and Trademark Office in prior application Serial  
27 No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- 28  3. A concise explanation of the relevance of document I.D. No. \_\_\_\_\_ (which is not in  
29 the English language), as presently understood by the individual designated under 37  
30 C.F.R. § 1.56(c) most knowledgeable about its content, is provided \_\_\_\_\_.
- 31  4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed  
within three months of the filing date of the national application, within three months of  
the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international  
application, or before the mailing date of a first Office Action on the merits.
- 32  5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed  
after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a  
final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311,  
and is accompanied by:

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34

- 1        a. \_\_\_\_\_ a certification as specified in 37 C.F.R. § 1.97(e); or  
2        b. \_\_\_\_\_ the fee set forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of  
3                          \$ \_\_\_\_\_ is enclosed.

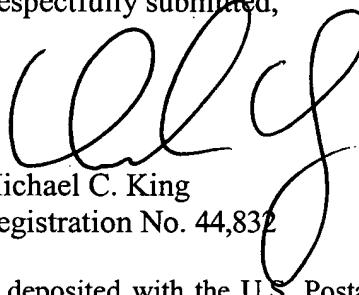
4        6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed  
5                          after the mailing date of either:

- 6        a. \_\_\_\_\_ a final action under 37 C.F.R. § 1.113; or  
7        b. \_\_\_\_\_ a notice of allowance under 37 C.F.R. § 1.311,

8                          but before payment of the issue fee. The statement is accompanied by a  
9                          certification as specified in 37 C.F.R. § 1.97(e), a statement requesting  
10                         consideration of the information disclosure statement, and the petition fee set  
11                         forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of  
                            \$ \_\_\_ is enclosed.

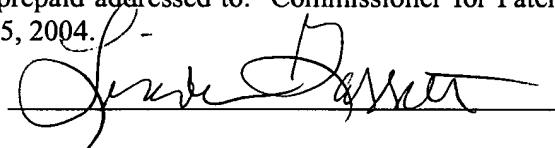
12        X 7. Please charge any additional fees or credit any overpayment to Deposit Account  
13                         No. 01-1940. A copy of this sheet is enclosed.

14                          Respectfully submitted,

15                            
16                          Michael C. King  
17                          Registration No. 44,832

18                          I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed  
19                         envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O.  
20                         Box 1450, Alexandria, Virginia 22313-1450, on April 15, 2004.

21                          Date: April 15, 2004

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**CUSTOMER NUMBER 25268**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT LISTING SHEET**

**Information Cited By Applicant(s) That May Be Material To  
The Prosecution Of The Subject Application**

Applicants: Minoru Taya et al. Attorney Docket No. UNIV0216  
Serial No.: 10/790,634 Group Art Unit:  
Filed: February 27, 2004 Examiner:  
Title: DESIGN OF FERROMAGNETIC SHAPE MEMORY ALLOY COMPOSITES  
AND ACTUATORS INCORPORATING SUCH MATERIALS

**FOREIGN PATENT DOCUMENTS**

*NONE CITED*

**U.S. PATENT DOCUMENTS**

<u>*Examiner Initial</u>	<u>ID</u>	<u>Document No.</u>	<u>Date</u>	<u>Inventor Name(s)</u>	<u>Class</u>	<u>Sub-Class</u>
_____	US1	2,494,235	01/10/1950	Gierwiatowski	250	40
_____	US2	3,096,449	07/02/1963	Stucki	307	88.5
_____	US3	3,517,193	06/23/1970	Mook Jr. Et al.	250	83.1

**OTHER INFORMATION**

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Examiner's Signature

Date

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RMA:lrg  
4/15/2005